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jc997 U.S. PTO
10/084859
02/27/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Melissa W. Dunn
Serial No. To Be Assigned
Filed February 27, 2002
For: SYSTEM AND METHOD FOR CONTROLLING AUTHORIZATION TO ACCESS
USER-SPECIFIC INFORMATION
Confirmation No. To Be Assigned
Attorney Docket No. MS# 180490.1 (MSFT 4969)

February 27, 2002

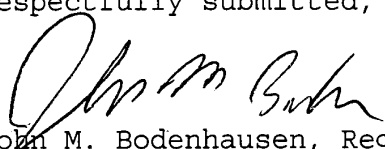
INFORMATION DISCLOSURE STATEMENT

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS,
WASHINGTON, D.C. 20231

SIR/MADAM:

Applicant submits herewith, in accordance with 37 C.F.R.
1.97 and 1.98 and MPEP 609, and in compliance with the duty of
* disclosure set forth in 37 C.F.R. 1.56, the attached PTO Form
1449 (modified) for consideration by the Patent and Trademark
Office in the above-entitled application (which is being filed
simultaneously herewith) and to be made of record herein.

Respectfully submitted,


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St. Louis, MO 63102
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Express Mail No. EL 890665184 US

PTO/SB/08A INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	To Be Assigned
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				Confirmation Number	To Be Assigned
				First Named Inventor	Melissa W. Dunn
				Group Art Unit	To Be Assigned
				Examiner Name	To Be Assigned
Sheet	1	of	3	Attorney Docket No.	MS180490.1 (MSFT 4969)

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U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY		
		Number	Kind Code ² (if known)				
	1	5,889,952		Heath Hunnicutt, et al.	03-30-1999		
	2	5,941,947		Ross M. Brown, et al.	08-24-1999		
	3	5,999,711		Pradyumna K. Misra, et al.	12-07-1999		
	4	6,260,148	B1	Sudhanshu M. Aggarwal, et al.	07-10-2001		
	5	6,308,173	B1	Daniel S. Glasser, et al.	10-23-2001		
FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ⁶
		Office	Number ⁴	Kind Code ² (if known)			
OTHER ART - NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.					T ⁶

Examiner Signature		Date Considered	
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached, or place an "A" if English language abstract is attached.

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Sheet	2	of	3	Attorney Docket No.	MS180490.1 (MSFT 4969)

6	<i>The Role of Graph Model and Conflict of Interest, ACM Transactions on Information and System Security, Vol. 2, No. 1, February 1999, pgs. 3-33 by Matunda Nyanchama and Sylvia Osborn</i>	
7	<i>The ARBAC97 Model for Role-Based Administration of Roles, ACM Transactions on Information and System Security, Vol. 2, No. 1, February 1999, pgs. 105-135 by Ravi Sandhu, Venkata Bhamidipati and Qamar Munawer</i>	
8	<i>Configuring Role-Based Access Control to Enforce Mandatory and Discretionary Access Control Policies, ACM Transactions on Information and System Security, Vol. 3, No. 2, May 2000, pgs. 85-106 by Sylvia Osborn, Ravi Sandhu and Qamar Munawer</i>	
9	<i>Role-Based Authorization Constraints Specification, ACM Transactions on Information and System Security, Vol. 3, No. 4, November 2000, pgs. 207-226 by Gail-Joon Ahn and Ravi Sandhu</i>	
10	<i>Why UDDI Will Succeed, Quietly: Two Factors Push Web Services Forward, The Stencil Scope, 7 pgs., April 2001 by Brent Sleeper</i>	
11	<i>The YGuard Access Control Model: Set-Based Access Control, SACMAT'01, May 3-4, 2001, pgs. 75-84 by Ty van den Akker, Quinn O. Snell and Mark J. Clement (ACM Press - ISBN 1-58113-350)</i>	
12	<i>Fine Grained Access Control for SOAP E-Services, WWW10, May 1-5, 2001, pgs. 504-513 by Ernesto Damiani, Sabrina DeCapitani di Vimercati, Stefano Paraboschi, and Pierangela Samarati (ACM Press - ISBN 1-58113-348)</i>	
13	<i>Web Services: High Stakes Amid the Hype, The Washington Post, October 18, 2001, 4 pgs. by Leslie Walker</i>	
14	<i>W3C Translations - XML in 10 Points, available at www.w3.org/XML/1999/XML-in-10-points, Copyright 1999-2000, 4 pgs., by Bert Bos</i>	
15	<i>W3C Initiative - P3P and Privacy on the Web FAQ, available at www.w3.org/P3P/p3pfaq.html, last revised June 22, 2001, 11 pgs</i>	
16	<i>W3C Initiative - P3P 1.0: A New Standard in Online Privacy, available at www.w3.org/P3P/brochure.html, Copyright 1997-2000, 6 pgs</i>	

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Sheet	3	of	3	Attorney Docket No.	MS180490.1 (MSFT 4969)

	17	W3C - A P3P Preference Exchange Language 1.0 (APPEL 1.0) - W3C Working Draft 26 February 2001, available at www.w3.org/TR/P3P-preferences.html , 50 pgs, by Lorrie Cranor, Marc Langheinrich, and Massimo Marchiori	
	18	Web Services Routing Protocol (WS-Routing), dated October 23, 2001, available at http://msdn.microsoft.com/library/en-us/dnglobspec/html/ws-routing.asp , by Henrik F. Nielsen and Satish Thatte	

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